

FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. UV-207	SERIAL NO. 09/973,976
INFORMATION DISCLOSURE STATEMENT BY APPLICANTS		APPLICANTS William L. Thomas et al.	CONFIRMATION NO. 9820
		FILING DATE October 9, 2001	GROUP 2613



U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
DHD	4,355,415	10/19/1982	George, et al.			
DHD	4,488,179	12/11/1984	Krüger, et al.			
DHD	4,602,279	07/22/1986	Freeman			
PRD	4,694,490	09/15/1987	Harvey, et al.			RECEIVED
JLJ	4,704,725	11/03/1987	Harvey, et al.	1		
DHD	4,706,121	11/10/1987	Young			JUL 25 2002
DHD	4,718,107	01/05/1988	Hayes			Technology Center 2600
DHD	4,745,549	05/17/1988	Hashimoto			
DHD	4,751,578	06/14/1988	Reiter, et al.			
DHD	4,787,063	11/22/1988	Muguet			
DHD	4,847,698	07/11/1989	Freeman	1		
JRD	4,857,999	08/15/1989	Welsh			
DHD	4,908,707	03/13/1990	Kinghorn			
PRD	4,930,158	05/29/1990	Vogel			
DHD	4,959,720	09/25/1990	Duffield, et al.			
JRD	4,963,994	10/16/1990	Levine			
JRD	4,965,825	10/23/1990	Harvey, et al.			
PRD	4,977,455	12/11/1990	Young			
PRD	5,027,400	06/25/1991	Baji, et al.			
PRD	5,047,867	09/10/1991	Strubbe, et al.			
PRD	5,109,279	04/28/1992	Ando			
PRD	5,109,414	04/28/1992	Harvey, et al.			
PRD	5,134,719	07/28/1992	Mankovitz			
PRD	5,151,789	09/29/1992	Young			
PRD	5,155,591	10/13/1992	Wachob			
PRD	5,172,413	12/15/1992	Bradley, et al.			
JRD	5,200,822	04/06/1993	Bronfin, et al.			
PRD	5,231,493	07/27/1993	Apitz			
PRD	5,233,654	08/03/1993	Harvey, et al.			

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U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
DLO	5,249,043	09/28/1993	Grandmougin			
DRO	5,299,006	03/29/1994	Kim			
DRO	5,335,277	08/02/1994	Harvey, et al.			
DRO	5,339,434	08/16/1994	Rusis			RECEIVED
DRO	5,341,350	08/23/1994	Frank, et al.			JUL 25 2002
DRO	5,353,121	10/04/1994	Young, et al.			
DRO	5,357,276	10/18/1994	Banker, et al.			Technology Center 2600
DRO	5,404,567	04/04/1995	DePietro, et al.			
DRO	5,410,344	04/25/1995	Graves, et al.			
DRO	5,426,699	06/20/1995	Wunderlich, et al.			
DRO	5,442,389	08/15/1995	Blahut, et al.			
DRO	5,461,415	10/24/1995	Wolf, et al.			
DRO	5,465,385	11/07/1995	Ohga, et al.			
DRO	5,502,504	03/26/1996	Marshall, et al.			
DRO	5,517,257	05/14/1996	Dunn, et al.			
DRO	5,523,794	06/04/1996	Mankovitz, et al.			
DRO	5,524,195	06/04/1996	Clanton, III, et al.			
DRO	5,524,271	06/04/1996	Hollmann, et al.			
DRO	5,537,141	07/16/1996	Harper, et al.			
DRO	5,539,449	07/23/1996	Blahut, et al.			
DRO	5,539,880	07/23/1996	Lakhani			
DRO	5,541,638	07/30/1996	Story			
DRO	5,541,738	07/30/1996	Mankovitz			
DRO	5,548,338	08/20/1996	Ellis, et al.			
DRO	5,550,576	08/27/1996	Klosterman			
DRO	5,550,825	08/27/1996	McMullan, Jr., et al.			
DRO	5,557,338	09/17/1996	Maze, et al.			
DRO	5,559,548	09/24/1996	Davis, et al.			
DRO	5,559,549	09/24/1996	Hendricks, et al.			

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EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
DRL	5,574,778	11/12/1996	Ely, et al.			
DRL	5,576,755	11/19/1996	Davis, et al.			
DRL	5,583,561	12/10/1996	Baker, et al.			RECEIVED
DRL	5,583,563	12/10/1996	Wanderscheid, et al.			JUL 25 2002
DRL	5,585,838	12/17/1996	Lawler, et al.			Technology Center 2600
DRL	5,592,551	01/07/1997	Lett, et al.			
DRL	5,594,509	01/14/1997	Florin, et al.			
DRL	5,594,779	01/14/1997	Goodman			
DRL	5,602,582	02/11/1997	Wanderscheid, et al.			
DRL	5,606,642	02/25/1997	Stautner, et al.			
DRL	5,616,876	04/01/1997	Cluts			
DRL	5,619,247	04/08/1997	Russo			
DRL	5,619,249	04/08/1997	Billock, et al.			
DRL	5,619,274	04/08/1997	Roop, et al.			
DRL	5,623,613	04/22/1997	Rowe, et al.			
DRL	5,625,678	04/29/1997	Blomfield-Brown			
DRL	5,629,867	05/13/1997	Goldman			
DRL	5,630,119	05/13/1997	Aristides, et al.			
DRL	5,632,007	05/20/1997	Freeman			
DRL	5,635,987	06/03/1997	Park, et al.			
DRL	5,648,824	07/15/1997	Dunn, et al.			
DRL	5,652,613	07/29/1997	Lazarus, et al.			
DRL	5,654,748	08/05/1997	Matthews, III			
DRL	5,654,886	08/05/1997	Zereski, Jr. et al.			
DRL	5,657,072	08/12/1997	Aristides, et al.			
DRL	5,666,645	09/09/1997	Thomas, et al.			
DRL	5,675,743	10/07/1997	Mavity			
DRL	5,694,163	12/02/1997	Harrison			
DRL	5,696,765	12/09/1997	Safadi			

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U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
DHD	5,708,961	01/13/1998	Hylton, et al.			
BAG	5,710,601	01/20/1998	Marshall, et al.			
DHD	5,717,452	02/10/1998	Janin, et al.			
DHD	5,721,829	02/24/1998	Dunn, et al.			RECEIVED
DHD	5,727,060	03/10/1998	Young			JUL 25 2002
DHD	5,732,216	03/24/1998	Logan, et al.			Technology Center 2600
DHD	5,734,119	03/31/1998	France, et al.			
DHD	5,742,443	04/21/1998	Tsao, et al.			
PRD	5,745,710	04/28/1998	Clanton, III, et al.			
DRD	5,751,282	05/12/1998	Girard, et al.			
DRD	5,752,159	05/12/1998	Faust, et al.			
DRD	5,752,160	05/12/1998	Dunn			
DRD	5,754,771	05/19/1998	Epperson, et al.			
DRD	5,758,257	05/26/1998	Herz, et al.			
DRD	5,758,258	05/26/1998	Shoff, et al.			
PRD	5,758,259	05/26/1998	Lawler			
DRD	5,760,821	06/02/1998	Ellis, et al.			
DRD	5,768,528	06/16/1998	Stumm			
DRD	5,774,170	06/30/1998	Hite, et al.			
DRD	5,778,182	07/07/1998	Cathey, et al.			
DRD	5,778,187	07/07/1998	Monteiro, et al.			
DRD	5,781,226	07/14/1998	Sheehan			
DRD	5,781,227	07/14/1998	Goode, et al.			
DRD	5,790,198	08/04/1998	Roop, et al.			
DRD	5,790,423	08/04/1998	Lau, et al.			
DRD	5,793,412	08/11/1998	Asamizuya			
DRD	5,793,971	08/11/1998	Fujita, et al.			
DRD	5,794,217	08/11/1998	Allen			
DRD	5,796,952	08/18/1998	Davis, et al.			

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DRO	5,802,284	09/01/1998	Karlton, et al.			
DRO	5,805,154	09/08/1998	Brown			
DRO	5,805,763	09/08/1998	Lawler, et al.			
DRO	5,805,804	09/08/1998	Laursen, et al.			
DRO	5,805,806	09/08/1998	McArthur			RECEIVED
DRO	5,808,608	09/15/1998	Young, et al.			JUL 25 2002
DRO	5,808,694	09/15/1998	Usui, et al.			Technology Center 2000
DRO	5,809,246	09/15/1998	Goldman			
DRO	5,812,123	09/22/1998	Rowe, et al.			
DRO	5,812,205	09/22/1998	Milnes, et al.			
DRO	5,815,146	09/29/1998	Youden, et al.			
DRO	5,818,438	10/06/1998	Howe, et al.			
DRO	5,819,019	10/06/1998	Nelson			
DRO	5,819,160	10/06/1998	Foladare, et al.			
DRO	5,822,530	10/13/1998	Brown			
DRA	Re. 35,954	11/10/1998	Levine			
DRO	5,841,979	11/24/1998	Schulhof, et al.			
DRO	5,844,620	12/01/1998	Coleman, et al.			
DRO	5,850,218	12/15/1998	LaJoie, et al.			
DRO	5,861,906	01/19/1999	Dunn, et al.			
DRO	5,881,245	03/09/1999	Thompson			
DRO	5,884,028	03/16/1999	Kindell et al.			
DRO	5,886,707	03/23/1999	Berg			
DRO	5,886,732	03/23/1999	Humbleman			
DRO	5,887,243	03/23/1999	Harvey, et al.			
DRA	5,892,915	04/06/1999	Duso, et al.			
DRO	5,894,589	04/13/1999	Reber, et al.			
DRO	5,896,414	04/20/1999	Meyer, et al.			
DRO	5,898,441	04/27/1999	Flurry			

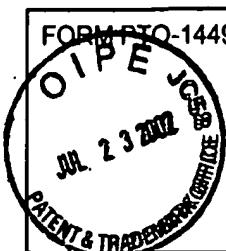
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EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
DRS	5,898,456	04/27/1999	Wahl			
DRS	5,899,582	05/04/1999	DuLac			
DRD	5,900,904	05/04/1999	Okada, et al.			
DRD	5,903,234	05/11/1999	Kimura			
DRD	5,903,263	05/11/1999	Emura			
DRS	5,903,264	05/11/1999	Moeller, et al.			
DRS	5,905,522	05/18/1999	Lawler			
DRD	5,905,847	05/18/1999	Kobayashi, et al.			
DRS	5,909,638	06/01/1999	Allen			
DRD	5,911,046	06/08/1999	Amano			
DRD	5,913,039	06/15/1999	Nakamura, et al.			
DRD	5,914,941	06/22/1999	Janky			
DRS	5,915,090	06/22/1999	Joseph, et al.			
DRD	5,915,094	06/22/1999	Kouloheris, et al.			
DRD	5,916,303	06/29/1999	Scott			
DRD	5,917,538	06/29/1999	Asamizuya			
DRD	5,917,835	06/29/1999	Barrett, et al.			
DRD	5,920,702	07/06/1999	Bleidt, et al.			
DRD	5,920,800	07/06/1999	Schäfer			
DRD	5,922,045	07/13/1999	Hanson			
DRD	5,922,048	07/13/1999	Emura			
DRD	5,923,361	07/13/1999	Sutton, Jr.			
DRD	5,926,204	07/20/1999	Mayer			
DRD	5,926,205	07/20/1999	Krause, et al.			
DRD	5,926,624	07/20/1999	Katz, et al.			
DRD	5,928,327	07/27/1999	Wang, et al.			
DRD	5,929,850	07/27/1999	Broadwin, et al.			
DRD	5,930,473	07/27/1999	Teng, et al.			
DRD	5,930,493	07/27/1999	Ottesen, et al.			

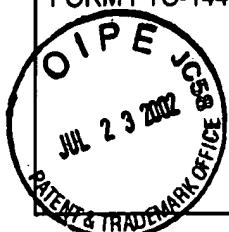
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EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
DRL	5,931,901	08/03/1999	Wolfe, et al.			
DRW	5,933,603	08/03/1999	Vahalia, et al.			
DRW	5,933,835	08/03/1999	Adams, et al.			
DRD	5,935,206	08/10/1999	Dixon, et al.			RECEIVED
DRD	5,936,569	08/10/1999	Stähle, et al.			JUL 25 2002
DRD	5,940,071	08/17/1999	Treffers, et al.			Technology Center 2600
DRD	5,940,073	08/17/1999	Klosterman et al.			
DRD	5,943,046	08/24/1999	Cave, et al.			
DRD	5,943,047	08/24/1999	Suzuki			
DRD	5,945,987	08/31/1999	Dunn			
DRD	5,947,746	09/07/1999	Tsai			
DRD	5,949,411	09/07/1999	Doerr, et al.			
DRD	5,956,482	09/21/1999	Agraharam, et al.			
DRD	5,959,659	09/28/1999	Dokic			
DRD	5,963,202	10/05/1999	Polish			
DRD	5,964,455	10/12/1999	Catanzarite, et al.			
DRD	5,969,714	10/19/1999	Butcher			
DRD	5,973,680	10/26/1999	Ueda			
DRD	5,973,722	10/26/1999	Wakai, et al.			
DRD	5,974,217	10/26/1999	Haraguchi			
DRD	5,977,963	11/02/1999	Gaughan, et al.			
DRD	5,978,567	11/02/1999	Rebane, et al.			
DRD	5,978,843	11/02/1999	Wu, et al.			
DRD	5,986,650	11/16/1999	Ellis, et al.			
DRD	5,990,881	11/23/1999	Inoue, et al.			
DRD	5,999,970	12/07/1999	Krisbergh, et al.			
DRD	6,002,394	12/14/1999	Schein, et al.			
DRD	6,002,720	12/14/1999	Yurt, et al.			
DRD	6,005,564	12/21/1999	Ahmad, et al.			

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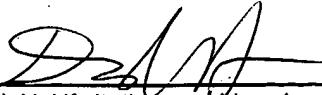
U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
DLO	6,005,600	12/21/1999	Hill			
DLO	6,009,465	12/28/1999	Decker, et al.			
DRO	6,012,089	01/04/2000	Hasegawa			
DRO	6,012,091	01/04/2000	Boyce			
DRO	6,014,381	01/11/2000	Troxel, et al.			
DRO	6,014,693	01/11/2000	Ito, et al.			
DLO	6,014,694	01/11/2000	Aharoni, et al.			
DRO	6,014,706	01/11/2000	Cannon, et al.			
DRO	6,018,359	01/25/2000	Kermode, et al.			
DRO	6,018,765	01/25/2000	Durana, et al.			
DRO	6,020,912	02/01/2000	De Lang			
DRO	6,022,223	02/08/2000	Taniguchi, et al.			
DRO	6,023,725	02/08/2000	Ozawa, et al.			
DRO	6,025,868	02/15/2000	Russo			
DRO	6,028,600	02/22/2000	Rosin, et al.			
DRO	6,029,064	02/22/2000	Farris, et al.			
DRO	6,038,591	03/14/2000	Wolfe, et al.			
DRO	6,052,145	04/18/2000	Macrae, et al.			
DRO	6,141,488	10/31/2000	Knudson, et al.			
DRO	6,160,546	12/12/2000	Thompson, et al.			
DRO	6,208,335	03/27/2001	Gordon, et al.			
PRN	6,314,575	11/06/2001	Billok, et al.			

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	60061935	09/04/1985	Japan				
	WO 88/04507	06/16/1988	PCT				
	WO 89/12370	12/14/1989	PCT				

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EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
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DRO	WO 90/00847	01/25/1990	PCT				
DRO	WO 91/00670	01/10/1991	PCT				
DRO	EP 0 424 469 B1	05/02/1991	EPO				
DRO	WO 91/07050	05/16/1991	PCT				
DRO	WO 92/04801	03/19/1992	PCT				
DRO	2 256 115	11/25/1992	Great Britain				
DRO	EP 0 535 749 A2	04/07/1993	EPO				
DRO	WO 93/08542	04/29/1993	PCT				
DRO	WO 93/22877	11/11/1993	PCT				
DRO	EP 0 572 090 A2	12/01/1993	EPO				
DRO	Pub. No. 247388	10/15/1994	R.O.C.				
DRO	EP 0 624 039 A2	11/09/1994	EPO				
DRO	WO 95/01058	01/05/1995	PCT				
DRO	WO 95/15658	06/08/1995	PCT				
DRO	EP 0 662 771 A1	07/12/1995	EPO				
DRO	EP 0 682 452 A2	11/15/1995	EPO				
DRO	WO 95/31069	11/16/1995	PCT				
DRO	WO 95/32583	11/30/1995	PCT				
DRO	WO 95/32584	11/30/1995	PCT				
DRO	WO 95/32585	11/30/1995	PCT				
DRO	WO 95/32587	11/30/1995	PCT				
DRO	WO 96/09721	03/28/1996	PCT				
DRO	EP 0 711 076 A2	05/08/1996	EPO				
DRO	WO 96/17467	06/06/1996	PCT				
DRO	EP 0 725 539 A2	08/07/1996	EPO				
DRO	WO 96/25821	08/22/1996	PCT				
DRO	WO 96/33572	10/24/1996	PCT				
DRO	WO 96/34467	10/31/1996	PCT				
DRO	WO 96/41472	12/19/1996	PCT				

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FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. UV-207	SERIAL NO. 09/973,976
INFORMATION DISCLOSURE STATEMENT BY APPLICANTS		APPLICANTS William L. Thomas et al.	CONFIRMATION NO. 9820
		FILING DATE October 9, 2001	GROUP 2613

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JUL 23 2001
PATENT & TRADEMARK OFFICE

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
DRO	EP 0 758 833	02/19/1997	EPO				
DRO	EP 0 763 938 A2	03/19/1997	EPO				<i>RECEIVED</i>
DRO	WO 97/13368	04/10/1997	PCT				
DRO	EP 0 424 469 B1	05/02/1997	EPO				<i>JUL 25 2002</i>
DRO	WO 97/21291	06/12/1997	PCT				
DRO	WO 97/32434	09/04/1997	PCT				<i>Technology Center 2600</i>
DRO	WO 97/34413	09/18/1997	PCT				
DRO	WO 97/34414	09/18/1997	PCT				
DRO	WO 97/37500	10/09/1997	PCT				
DRO	WO 97/42763	11/13/1997	PCT				
DRO	WO 97/46016	12/04/1997	PCT				
DRO	WO 97/46943	12/11/1997	PCT				
DRO	WO 97/47124	12/11/1997	PCT				
DRO	WO 97/48228	12/18/1997	PCT				
DRO	WO 97/49237	12/24/1997	PCT				
DRO	WO 98/01995	01/15/1998	PCT				
DRO	WO 98/07277	02/19/1998	PCT				
DRO	WO 98/10589	03/12/1998	PCT				
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DRO	WO 98/17033	04/23/1998	PCT				
DRO	WO 98/17064	04/23/1998	PCT				
DRO	WO 98/18260	04/30/1998	PCT				
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DRO	WO 98/26528	06/18/1998	PCT				
DRO	WO 98/26584	06/18/1998	PCT				
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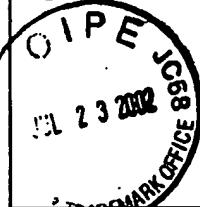
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EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
DRW	WO 98/34405	08/06/1998	PCT				
DRW	WO 98/38831	09/03/1998	PCT				
DRW	WO 98/47279	10/22/1998	PCT				JUL 25 2002
DRW	EP 0 874 524 A1	10/28/1998	EPO				
DRW	WO 99/03267	01/21/1999	PCT				Technology Center 2600
DRW	WO 99/04561	01/28/1999	PCT				
DRW	WO 99/11060	03/04/1999	PCT				
DRW	WO 99/12320	03/11/1999	PCT				Abstract
DRW	WO 99/27681	06/03/1999	PCT				
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DRW	WO 99/56473	11/04/1999	PCT				
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DRW	WO 99/65244	12/16/1999	PCT				
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DRW	WO 01/50743 A1	07/12/2001	PCT				

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DRO	CableData brochure, "A New Approach To Addressability" (undated).
DRO	"Addressable Converters: A New Development at CableData," Via Cable, Vol. 1, No. 12 (December 1981).
DRO	Hofmann, et al., "Videotext Programmiert Videorecorder," Rundfunktechnische Mitteilungen, Nov.-Dec. 1982, pp. 254-57 (translation abstract attached)
DRO	Sorce, J. et al., "Designing a Broadband Residential Entertainment Service: A Case Study," 13th International Symposium Human Factors in Telecommunications, Torino, Italy, September 10-14, 1990 pp. 141-148.
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